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ABSTRACT

Americans tend to derive nicknames and more intimate affectionate nicknames from a person's formal first name; the type of name used depends on the social situation and the relationship between the two people. In many cases, for both masculine and feminine names, the nickname is derived from the first (or sometimes the second) syllable of the formal name, and the affectionate nickname is made by adding "ie" or "y." It is significant that in adult life, males are almost always addressed by the nickname, while it is considered permissible to use the affectionate nicknames for females. Variations in the pattern of naming occur in the nicknames of certain politicians (Ike, JFK) or colorful or affectionate nicknames used by sports figures (Babe Ruth, Rosey Grier). (CK)

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THE AMERICAN WAY WITH NAMES

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The purpose of this paper will be to examine the American way with names—how Americans use personal names. If there is a system of usage, that system can be taught in English as a Foreign or Second Language classrooms. If that system is related to the cultural values of American society, then we will have added another link to the chain binding language and culture together.

Lenneberg, ¹ in a discussion of the contextual determinants in common naming, cites some factors of an extra-linguistic nature in choosing which name to use;

. . . the speaker's intent, the type of person he is addressing hirnself to, or the nature of the social occasion . . .

and then goes on to assert;

Only proper names are relatively immune from these extra-linguistic determining factors.

I am afraid Lenneberg hes erred here; indeed this paper will attempt to show that proper names and their derivatives are, if anything, even more subject to those extralinguistic factors than are ordinary names.

I would like to extend an earlier statement by Lenneberg in the same work, that;

. . . most words may be said to label realms of concepts rather than physical things.

to the use of proper names also. This extension will become important later in the paper when, having established the existence of a general system in proper name usage, we will see how certain substitutions must be resorted to when gaps in the system occur (thus leaving certain concepts unlabelled).

In presenting and discussing the data of this paper, the methodology will be to go from the general and regular to the specific and irregular. It is fair to say that the teaching and learning of foreign language has generally followed this procedure of going from regular to irregular and certainly Lenneberg's description of first language acquisition would support such an approach;



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The infant's emerging patterns of language acquisition are global, undifferentiated aspects which gradually unfold until the fully differentiated rules, lexical items, and phonological skills are established. The history of development is one in which the grammatical apparatus becomes more and more complex; accretion is by way of a progressive differentiation of language mechanisms.

Despite their varying historical origins and the many extra-linguistic factors that cross-cut and influence the use of American names, it is quite clear that the regularity of their usage is highly suggestive of the presence of rules that govern their behavior and shape in a manner similar to the rules, suggested by Chomsky and other generative grammarians since 1957, governing many aspects of language behaviour. In this paper, however, I will be more concerned with presenting prose descriptions of the data and their behaviour than I will with formalized rules. I would prefer this paper to be informative, useful and interesting in a non-technical sense.

The discussion will center on proper names, $^{\circ}$ especially first names and their derivatives, $^{\circ}$ the nickname and the affectionate nickname. During the course of the discussion it will become clearer what is meant by the terms first full name (FFN), nickname (Nn), and affectionate nickname (AfNn) but it might be worthwhile at this boint to essay a first rough approximation by describing some ways they are used and by whom.

The FFN is the "christian" or given name that appears on the child's birth certificate along with (usually) a middle name and then the family name e.g., James Fenimore Cooper or Eleanor Holmes Norton. The FFN is the base from which the Nn and the AfNn are derived in a majority of cases. The FFN is normally used as a form of address in situations of a slightly less than official nature when the acquaintance is rather new. The FFN is often used by subordinates to superiors when they have worked long enough to not be required to call them by title or last name. Although the FFN is the base form from which the Nn and the AfNn are derived and is the form which must be used on all official documents, it is probably the least used form in conversation. This is due to the relatively few occasions when one is in a new-acquaintance, relatively formal but less-than-official and/or public situation.

One of the few -ituations where the FFN continues to be used is by an employee to his boss when they are in a long-term relationship but the rank or status gap between them is too large to use a Nn--although the boss may well use a Nn for the employee.

Inc Nn (nickname) is the choice most often taken by Americans in all normal working and social situations. Every person has the right to ask or allow others to call him by any name he choose, to designate and as American, strive to reach informal, comfortable relationants as quickly as possible the Nn is the choice. The Nn is usually based on, or derive from the ${\rm FFN}$ —usually the first by Lob of the ${\rm FFN}^5$. The Nn is most frequently used of the three possible choice, this person examines. Even members of the immediate family will use the Nn (nather than the AfNn) in public situations.

The Affin (affectionate nickname) is reserved for the between members of the immediate family and friends of long standing and/or with a more-th in-usual degree of intimacy (including lovers). Its use often begins in childhood. In public situations (i.e., in front of outsiders) family members, will often use the Nn as use of the Affin is considered to be too strong a display of intimacy. Lovers often use the Affin in public to declare their intimate status. Although the Affin may be derived from the EFN, it is most often based on the Nn. Although it is used by the fewest number of people, the people who use the Affin use it often and see the person often and thus it, frequency of usage falls somewhere between more than that of the EFN and less than that of the Nn. Of the three names down and, the Affin is the one that an larger. The present change in usage as a result of the passage from any free it adulthood, as peculity to males.

Personal role to leave placent who also it nearly not be administration; some of the ractors, some of the ractors.

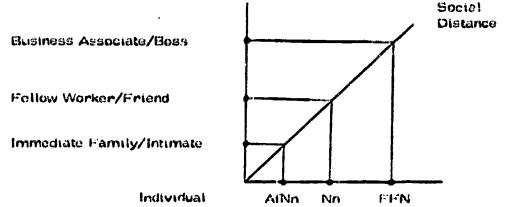


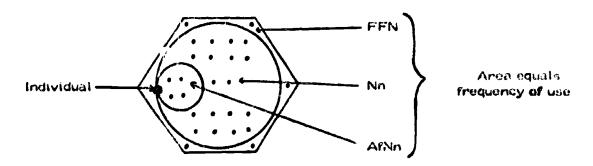
The %s' indicate which name is normally used in association with the characteristics of personal relationship, e.g. when an acquaintance thin is rather formal and of no long period of time, the FFN, and possibly the Nn may be used but not the AfNn.

	FFN	Nn	AfNn	
Short Time	×	×		Long Time
Formal	×	×		Informal
Public		×	×	Private
Stranger		×	×	(Intimate) Friend
Unrelated		×	×	Related (blood or marriage)
Adult		×	×	Child
Male	·	×	×	Female

The characteristics listed above (out of the many possible) are of an informal nature and many cross-cut or influence others. It should also be noted that if we were including titles in this discussion, as they are the most formal and public forms of address, they would lie to the left of the FFN. The chart does illustrate that the Nn is the most often used name.

The following diagrams should help readers understand where the individual stands in relationship to frequency of name usage, number of persons who use the names, and the social distance involved as a result of the combination of which person uses which name.





• - represents the number of persons

The FFN is the official, distant, "cool" name. The AfNn is the informal close, "hot" name. The Nn falls between the two extremes. Thus we can indicate and measure social and emotional distance between ourselves and others by the names we use for them and they use for us.

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Either side can change those distances by changing the name they use. Salesmen in America try to get on a Nn basis with customers as quickly as possible. If a salesman, making house to house calls, tries to use the potential customer's Nn too soon (assuming ne has never met the customer before) or if he tries to call the customer by an AfNn, the customer would probably judge the salesman as being "pushy," too aggressive, and refuse to buy the salesman's goods. Successful salesmen are usually skillful in knowing how to obtain permission to use the customer's Nn, and when. When a customer feels comfortable with the saleman on a Nn basis, he is more likely to trust him, believe what he says, and eventually buy from him.

Parents who normally use the AfNn for their children, find it difficult when scolding their children to use the AfNn and will instead use the FFN. The fiercer the scolding the more fully the names owned are used:

Jessica don't.
Jessica don't do that.
Jessica Claire I've told you a hundred times not to do that.
Jessica Claire Van Buren stop that this instant!

In attempting to describe the usage system, no claims are being made as to its inviolability. Nothing prevents a person from calling a colleague by an AfNn rather than a Nn in the same sense that the phenology of English prevents one from saying [ki ac will. There are also times and places when a spouse will not address his partner with the normally used AfNn. The claim is being made that all such changes in usage are made with the general system as a reference point and, aside from individual variations, are culturally and socially rooted—which is not to take away from the regularity of that usage. I have just given one example of interim usage, and other examples of variations based on economic and ethnic groups will be discussed later.

Before turning to some data, I'd like to mention a major difficulty in dealing with American names that makes research complicated although that same element may point to a pir. The explanation as to why these names carry such a burden. The problem to that one cannot always be sure that the matchings and pairings of name; that one contents ally accurate or historically correct due to the tremendous variety of cultures and languages that serve as resources for the names that Americans use.

Just the quickest glance at a telephone book of any resonably good-sized American town will tell the reader something about the extent and nature of this problem as well as something about the composition of the population, and their antecedents, that is listed therein. In addition to the usual Joneses, we find Smiths, Millers, Carpenters, Waggeners, Plumbers, Barbers, Rivers, Lukes, Woods, Furests, Hills, Valleys, Golds, Silvers, Stones and Mudds (i.e. family names based on occupation, geographical locations, and elements of nature).

We will also find many names that give more than a hint of their national origins, for instance: by prefex, O'Neil, McCormick, MacDougal, DiGiralamo, DeSpain, DuPont, Van Buren, San Antonio, St. Clair; by suffix, Swenson, Steinmetz, Einstein, Fowalki, Vandenberg, Hanson, Ballantine, Sikorsky, Koganovitch, Banacek, and by just plain stems (that are a by exotic by European-oriented American standards) such as fuji, Flanada, Park, Pak, Chai, Jong, Diem, Sajorno, Natividad, Ahmad, Srinivasan, Tawilermang, etc. All of these Family, or tast names, are just samples of the full list available. Furthermore, lists of names don't indicate the number of people who have sterned, amplified, or completely changed their original name in way: that would make them more "American," All of these names bear eloquent witness to the validity of



American's boast of being the world's melting pot. Unfortunately that same melding process has tended to blur the linguistic and cultural processes that have occurred historically, i.e. over time, that shape the ways people name their children, thus depriving the researcher of much valuable information. However, the uncertainty as to which cultural or linguistic process was involved in assigning the FFN does not detract from my thesis as to how the name(s) are used once assigned, and the wide variety of family names may be a factor in focussing attention and importance on the useof the FFN and its two derivatives. Other cultures and languages, while not untouched by outside influences, do have more clearly articulated systems for choosing FFN's and greater historical stability lends more importance to the use of family names.

Being aware of the uncertainty of the diachronic facts, I am forced to deal with the present, or synchronic, situation as much as possible. Therefore, the data in this paper is based, in the main, on my own experience as a native speaker of American English, and I will not use names or combinations of names that I, or my friends and colleagues, cannot attest to having seen or heard in actual use. Any errors in fact or judgment are entirely my own and their speady correction by others will be appreciated. All such corrections will be gains for those who are really interested in the American way with names.

Below is a list (List A) of some male American names in common use today that display the full, regular range of FFN, Nn and AfNn.

LIST A

FFN	<u>Nn</u>	AfNn	FFN	Nn	AfNn
Abraham	Aba	Abte	Gerold	Ger	Gerry
Albert	A †	Albie	Olbson	Gib	Gibby
Alfred	Alf	Aifie	Gilbert	Gil	Gilly
Allen	A!	Allie	.		•
Archibald	Arch	Archie	Henry	Hen	Henriy
Arnold	Arn	Arnie	Herbert	Herb	Herbie
Arthur	Art	Artie	Herman	Herm	Hermie
Benjamin	Ben	Bennte	Isaac	I ke	Ikey
Bernard -	Bern	Bernie	Isadore	lz	lzzy
Bertram	Bert	Bortie			•
			Jacob	Jake	Jakey
Christopher	Chris	Chrissy	Jeremiah	Jer	Jerry
Clifford	Cliff	Cliffy	Joseph	Joe	Joey
		-	Julius	Jule	Juley
Daniel	Dan	Danny			•
David	Dave	Davey	Kenneth	Ken	Kenny
Dennis	Den	Denriy			•
Donald	Don	Donnie	Lawrence	Lar	Larry
Douglas	Doug	Dougle	Leonard	Len	Lenny
			l - outs	Lou	Louey
Edwin	Ed	Eddie	Lucas	L_uke	Lukey
Enic	Rick	Ricky	Luther	Lute	Lutey
Ernest	Ern	Ernie			•
			Martin	Mart	Marty
Ferdinand	Ferd	Ferdy	Matthew	Matt	Matty
Francis	Fran	Franny	Maxwell	Max	Мажеу
Franklin	Frank	Frankie	Milton	Milt	Milty
Fruderick	f-red	Freddy	Mortimer	Mort	Morty
					-

List A Continued . . .

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FFN	Nn	AfNn		FFN	<u>Nn</u>	AfNn
Nathaniei	Nat	Natty		Samuel	Sam	Sammy
Nelson	Nels	Nelly	1	Shelden	Shel	Shelly
Nicolas	Nick	Nicky		Solomon	Sol	Solly
			i	Stephen	Stave	Stevey
Obert	Ob	Obbie		•		
Oliver	O1	Ollte	1	'ferrence	Ter	Terry
Orrin	Or	Orrie	Į.	Theodore	Ted	Teddy
Orville	Orv	Orvie		Thomas	Tom	Tommy
				Timothy	Tim	Timmy
Percival	Pers	percy			• ••••	
Peter	Pete	Petey		Vernon	Vern	Vernie
			•	Vincent	Vin	Vinnie
Raphael	≅alph	Ralphy '	·		• • •	*
Rodney 1	Rod	Roddy	j	Walter	Walt	Waliy
Rotand	Rot	Rolly	1	Willard	Will	Willy
Ronald	Ron	Ronnie		Woodrow	Wood	Woody

List A demonstrates that, by and large, Nn's are derived from the first syllable of the FFN, as I claimed earlier (p.68). It is possible for a Nn to come from a syllable other than the first, for example: Ham from Abraham. But I have never heard Lnn from Allen even though Len is a Nn (from Leonard). I wouldn't place Abraham in List A because I have never heard *Hammy but there are some examples (List \$\mathbb{B}\$) of full sets based on syllables other than the first of the FFN.

	LIST B	<u>r_8</u>		
FFN	<u>Nn</u>	AfNn		
Anthony	Ton	Tony		
Alfred	Fred	Freddy		

but we are more likely to find the following,

Andrew	Drew	Andy
Howard	Ward	Howle
Rudolph	Doluh	Rudy

i.e., the second syllable is used to fill the Nn blank. *Rude and *An or *And seem to be i appropriate names in English. 8 These are also good examples of the pressure that exists to fill a gap in the system (see further discussion from p. 78).

One factor here that would work towards leaving a concept unlabelled would be the femininess of the name Ann. No boy or man would want to be nicknamed Ann. The popular song "A Boy Named Sue" sung by Johnny Cash is, in part, the story of how a young man strikes his own father in retaliation for being given such an unmasculine name.

List A is distinguished by its completeness as well as by its regularity. In contrast, there are names from which neither a Nn nor an AfNn can be derived, e.g., Ichabod, Kyle, Keith, Owen, Guinn, Glen, Quequeeg, Quirt, Vesper, Frian, Uriel, Zoltan, etc.



Other names (List C) apparently have a Nn but not an AfNn.

		<u>L</u>	ST C		
FFN	Nn	<u>AfNn</u>	FFN	Nn	AfNn
Alexander	Alex		Quentin	Quent	* * * * * * * * * * * * * * * * * * *
Barton	Bart		Preston	Pres	
Calvin	Cal		Russell	Russ	
Curtis	Curt		Sidney	Sid	
E*ekial	Zeke		Thaddeus	Tall	
Gregory	Greg		Valentine	∨al	
Kimberly	Kim		Victor	Vic	
Lester	Les		Virgil	Virg	
Melvin	Mel		Webley	Web	
Phillip	Phil		Yancey	Yance	•

There is a smaller number of names (List D) that have an AfNn but no Nn.

Jessie

FFU	No	AfiNn	FFN	Nn	AfNn		
Bruce George		Brucie Georgie	Lon		Lonnie		
George		Georgie	Oscar		Ossie		

Paul

Paulie

i will discuss later some of the methods used to fill the blanks in List C and D but for now I would like to continue with my thesis that this is a regular process even in cases where the data is of an unusual nature relative to that contained in List A. To restate the process then, the Nn is derived from the FFN—usually the first syllable of the FFN. The AfNn is then usually derived from the Nn by simply adding an [i] sound (spelled either with a y or an ie) to the Nn. In technical linguistic terms this process would be described with redundancy rules. The regularity of this process is also exhibited in cases where the FFN has two or more Nn's (List E).

LIST E						
FFIN	Nn	AfNn	1	FFN	Nn	AfNa
Albert	Al Bert	Allie Bertie		Richard	Rich Dick Rick	Richy Dicky Ricky
Benjamin	Ben Benj	Bennie Benjie		Robert	Rob Bob 10	Robby Bobby
Charle:	Chaz Chuck	Charlle Chuckle		William	Will Bill	Willy Biliy
Jonathon	John Jack	Johnny Jackie				
Michael	Mike Mick	Mikey Mickey				

Jesse

There is also regularity in cases (List F) where two or more FFN's have only one Nn and AfNn set.

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. = :		<u>.</u>	IST F		= 1 <u>1</u> ***
FFN	Nn	AfNn	FFN	Nn	AfNn
Clifton Clifford	Cliff	Cliffy	Kenneth Kendall	Ken	Kenny
Edgar Edmund Edward	Ed	Eddie	Lucas Lucius	Luke	Lukey
Jeremtah Jeremy Jerts Jerome	Jer	Jerry	William Willard	Will	Willy

I think the data in List E is self explanatory but that list F could use a word as to why I think it illustrates the regularity of the process. In American Southern Dialects, just as there are lexical and phonological differences from standard or Great Mid-Western American English, name usage varies from the norms being described in this paper. Names (FFN's, Nn's, and AfNn's) are often doubles (Mary Jo, Billy Jack) and sometimes ambiguous as to the sex of the bearer (Billie Jo, Terry Lu). Most importantly for my point is the existence of names like Bill T, Tom B, and John B. Why, then, can't we use names like Ed G, Ed M, or Ed W to help disambiguate which FFN (Edgar, Edmund, or Edward) we are referring to when we use either Ed or Eddie? My answer is that, at least in this instance, the overall regularity of the system I am describing is more important than referential clarity. I have heard Edward G. Robinson (movie actor) referred to as "Endie G," but I have never heard any Edward called Ed or Eddie W meaning the Ed or Eddie whose FFN is Edward (not Edmund or Edgar).

Another variation of name usage that still fits the pattern of regularity being discussed is the one where the word for the Nn and the AfMn is the same and therefore ambiguous as to which social distance (or meaning) is being shown (List G). We would expect that other names will be used to dis-ambiguate when the social situation demands it and a common method that is used will be discussed later in the paper.

LIST C						
FFN	Nn	AfNn	1	FFN	Nn	AfNn
John	J/Jay(?)	J/Jay(?)		Raymond	Ray(?)	Ray(?)
Leonard	Leo(?)	Leo(?)		Simon	Si(?)	51(?)
Leroy	Roy(?)	Roy(?)				
Leroy Leander Leland Lemore	Lee(?)	Lec(?)				

Both List F and G illustrate why we must take the FFN as the base form from which the Nn and the AfNn may be derived even though, as List T will show, some Nn-AfNn pairs do not have an actual FFN base and despite the fact that, in terms of frequency of usage, both the Nn and the AfNn stand higher than the FFN, i.e., we cannot determine from Lee,

Ed, or Jerry what the FFN is in any specific instance. However, even the non-native speaker of English should be able to arrive at the Nn and the AfNn of names he has never heard before if the FFN is drawn from Lists A, C, F, and G. Given Samuel, Bertram, and Gregory he should have no difficulty in producing Sam, Bert, and Greg. As he would not normally be in situations or relationships requiring the use of AfNn's he would be saved the embarrassment of trying to say "Greggie, but would recugnize Sammy and Bertie if and when he heard them being used.

Although I have used only male names to this point, most of what I have said applies to famale names, too (List H). I shall explain the reason why I have presented them separately later.

	LIST H						
	FFN	Nn	AfNn	FFN	Nn	AFNn	
	Abigail	Ab	Abby	Lavinia	Vin	Vinnie	
	•			Lititia	Let	Letty	
٠	Barbara	Barb	Barbie	Lucille	Lu	Lucy	
	Bernica	Bern	Bernie	, , , , , ,			
	. .			Madelaine	Mad	Maddy	
	Christine	Chris	Chrissy	Marjorie	Marge	Margie	
	Clarabelle	Clar	Clary/ Clara	Martha	Marth	Marthy	
				Nancy	Nan	Nannie	
	Deborah	Deb	Debbie	Nicole	Nic	Nickie	
	Dorothy	Dot	Dottie				
				Olive	Ol	Ollie	
	Eleanor	El	Ellie				
	Elsa	Els	Elsie	Pamela	Pam	Pammy	
	Evelyn	Ev	Evie	Penelope	Pen	Penny	
	•			Priscilla	Priss	Prissy	
	Flora	Flor	Flory			_	
	Florence	Flo	Flossy	Rebecca	Beck	Becky	
	Frances	Fren	Francy			•	
			<u> </u>	Samantha	Sam	Sammy	
	Geraldine	Ger	Genry	Stephanie	Steph	Stephie	
	Gentrude	Gert	Gertie	Susan	Sue	Suzy	
				Sylvia	Syl	Sylvie	
	Helen	Nell	Nellie	•	•	•	
				Tamara	Tam	Tammy	
	Irene	Rene	Renie			•	
				Virginia	Virg	Virgie	
	Janet	Jane	Janey		•	•	
	Jeanette	Jean	Jeanie	Winifred	Win	Winnie	
	Josephine	Jo	Josey				

Although this list is not as fully fleshed as List A, I think it is sufficient to illustrate that the system of name usage is basically just as regular for female names as it is for male. Combining List A and H gives us an impressive display of the regularity of the system of name usage.



Katherine

Kate

Katy

The female names also match the male names in the types of exceptions to List A that exist, so corresponding to List B (Nn and AfNn derived from syllable other than first), we have

L	S	Т	Į

FFN Nn AfNn

Elaine Lane Laney

Marilyn Lyn Lynnie

and

Althea Al Thea Patricia Trish Patsy

Corresponding to List C (sets without an AfNn), we have

LISTJ

FFN Nn AfNn

Cynthia Cyn

Hazel Haz

Valenie Val

Corresponding to List D (sets without a Nn), we have

LISTK

FFN	Nn	AfNn
Alyce		Allie
Amanda		Mandy
Ann		Annie
Bonita		Bonnie
Candace		Candy
Gloria		Glory
lda		ldy
Judith		Judy
Marcella		Marcy
Veronica		Ronny

Corresponding to List E (two or more Nn's and AfNn's from single FFN), we have

LIST L

					
FFN	<u>Nn</u>	AfNn	FFN	Nn	AfNn
Barbara Cassandra	Barb Babs Cass	Barby Babsie Cassie	Margaret	Mag Mary Meg	Maggie Margie Meggie
Cassariora	Sandra	Sandy		Peg	Peggy
5 .	_		Theresa	ïer	Terry
Dorothy	Dor	Dorr.e		Tess	Tessie
	Dot	Dotty			
			Virginia	Virg	Virgy
Elizabeth	Liz	Lizzie		Gin	Ginny
	Beth	Betty			·
	Bet	Betsy	}		



Corresponding to List F (single/same Nn and AfNn from two or more FFN's), we have

•	LIST M	
FFN	Nn	AfNn
: Christina Christine	Chris	Chrissy
Rosemary Rosette Rosalie	Rose	Roste

Corresponding to List G (uncertain if single form is Nn or AfNn), we have

LIST N					
FFN	<u>Nn</u>	AfNn			
Beatrice	Bea(?)	Bea(?)			
Violet	V1(?)	Vi(?)			

And, of course, there are female names that apparently cannot act as a base for Nn's or AfNn's e.g., Brenda, Charity, Celeste, Chloe, Esther, Faith, Hope, Una, etc.

As there is so much correspondence between the systematic uses of male and female names, the reader might well wonder why I bother to separate them. First, it is my feeling that all languages will exhibit a male-female distinction in names and therefore the ways a particular language makes those distinctions may give us clues as to the nature of the culture that shapes that usage to its own particular ends, or in a weaker claim, may at least reflect some of that culture's current values.

In the course of gathering and analyzing the data for this paper (especially the names for List O and J, and D and K) I began to feel that male names (List O) tended to lack an AfNn (also see List C),

LIST O

FFN	Nn	AfNn	FFN	Nn	AfNn
Carlton	Carl		Preston	Pres	
Chadwick	Chad		Quenton	Quent	
Delbert	Del		Roger	Rog	
Everett	Ev		Shepard	Shep	
Harold	Hal		Sydney	Syd	
Jeff r ey	Jeff		Sylvester	Syl	
Katsuo	Katz		Thadeous	Tad	
Kazuyo	Kaz		Walter	Walt	
Lester	Les		Wesley	Wes	

Whitney

Zachary

Whit

Zac!:

Mits

Phil

Mitsuo

Phillip



and female names (List P) tended to lack a Nn (also see List K).

LIST P

FFN	<u>Nn</u>	<u>At'Nn</u>	FFN Nn	<u>AfNn</u>
Allyce		Allie	Grace	Gracie
Ann		Annie	Hedda	Herty
Andrea		Drea	Judith	Judy
Amanda		Mandy	Joan	Joanie
Beatrice		Bea	Gloria	Glory
Bridget		Birdy	Marcella	Marcy
Carlotta		Lottie	Ruth	Ruthie
Candace		Candy	Veronica	Ronny
Edith		ਵੋਗੇ e		

In addition, it was difficult to find male names that had an AfNn but no Nn, as in List D (and for some of those names, e.g. Mark--Ø--Markie, Gene--Ø--*Genie, as the AfNn is too babyish or feminine, if one would like to indicate a closer relationship than use of FFN would indicate, the following is possible Mark--Marko--Ø, Gene--Geno--Ø). There also seemed to be fewer female names with a Nn and no AfNn, as in List J. I take this to be evidence of a male connotation for the Nn and a female connotation for the AfNn. The evidence in Lists O and P in itself is not very conclusive. However, there are other pieces of evidence pointing to the same conclusion but, before discussing some of the more concrete examples, I would first like to briefly mention one thing that is difficult to demonstrate. That is: to the best of my knowledge and experience, for men who lack the AfNn, as in Lists C and O, there doesn't seem to be the same pressure to fill the gap as there is for men with names that lack a Nn, as in List D, to fill that gap.

In the case of female names the reverse is true. Women with names which lack a Nn, as in Lists K and P, do not seem to resort to other sources to fill the gap with the same sense of urgency as those women who, as in List J, because they lack an AfNn do use other sources to fill that gap.

A more substantial piece of evidence in support of the claim that the Nn has a masculine connotation and the AfNn has a ferminine connotation, especially for adults, is the observation that men prefer (often that upon) being called by their Nn by the general population around them even in situations that would apparently be better served by use of either the FFN or the AfNn. On the other hand, women are usually addressed by the more intimate AfNn by the general population in any but the most general and quite public situations. An example that rather neatly sums up both situations can be obtained from the time when John F. Kennedy was President of the United States, the highest public office in America. The general public and all the news media could and often did call the President Jack (never Jackie) and the President's wife Jackie (never Jac, the Nn for Jacqueline).

In order to make my next point in support of the claim for a relationship between masculine-Nn and feminine-AfNn, I would like to list and examine some male/female "mirror image" names.

LIST Q

FFN (Male)	FFN (Female)	FFN (Male)	FFN (Female)
Albert	Alberta	Augustus	/ ∧ugusta
Alexander	Alexandra	Benedict	Benedicta
Alfred	Alfreda	Bernard	Bernadine
Andrew	And r ea	Cecil	Cecily
Anthony	Antonia	Charles	Charlene

List Q Continued . . .

FFN (Male)	FFN (Female)	FFN (Male)	FFN (Female)
Christian	Christiana	Julius	Julia
Claude	Claudette	Justin	Justina
Clement	Clementine	Leon	Leona
Dennis	Denise	L_ouis	Louisa
Edwin	Edwina	Lucius	Lucia
Eric	Erica	Marcellus	Marcella
Ernest	Ernestine	Michael	Michelle
Eugene	Eugenia	Nicolas	Nicole
Francis	Frances	Oliver	Olivia
Gabriel	Gabriella	Patrick	Patricia
George	Georgina	Paul	Paula
Gerald	Geraldine	Regis	Regina
Glen	Glenna	Robert	Roberta
Harry	Harriet	Stephen	Stephanie
Henry	Henrietta	Theodore	Theodora
Isadore	Isadora	Thomas	Thomasina
Jess	Jessica	Victor	Victoria
Joseph	Josephine	Wayne	Waynette
Juan	Juanita	Wilhelm	Wilhelmina
	•		

If we examine the endings of the names in List Q we can see that whereas most of the female names end either in a vowel (usually /a/) or with a diminutive suffix (-ine, -ina, -ette, -etta) the corresponding male names almost all end in a consonant. Now if the reader will accept the generalization that female names tend to be of the shape NAME ROOT + V/Diminutive Suffix and NAME ROOT + C is the normal shape of the male name, then by looking back through all the lists he will see that most AfNn's end in a V and most Nn's end in a C for both male and female names.

By combining the facts contained in List Q and the uses and pressures exhibited by Lists O and P, I am led to the conclusion that the Nn has the connotation, or contains the element, "masculine" and the AfNn has the connotation, or contains the element, "feminine". 13

As further evidence in support of both of my major arguments to this point, it is interesting to note that even the terms (i.e. either the name of the relationship or the name of the persons with whom the relationship is held) used within the family share the paradigm of FFN, Nn, AfNn and exhibit the same usage patterns and connotations as do regular proper names (List R). Note, however, the larger number of Nn's on the male side and the lack of "*unkie" for uncle although it is phonologically possible in English as in hunky dory, Bunky, funky, drunkie, etc.

LIST R

FFN or relationship	<u>Nn</u>	AfNn	FFN or relationship	<u>Nn</u>	AfNn
	MALE		_ <u>F</u>	EMALE	
brother Bubber Budder	Bub Bud	Bubby Buddy	sister Sister	Sis	Sissy ¹⁴
Brallah son	Brah ¹⁵ Son	Sonny	daughter	Doll	Dolly

List R Continued . . .

FFN or relationship	<u>Nn</u>	<u>AfNn</u>	<u>FFN or</u> relationship	<u>Nn</u>	Aftin
father Father	Dad Pap Paw Pop	Daddy Pappy	mother Mother	Mom Ma	Mommy Mama
grändfather Grändfather	Pa Gramp Gramps Grandpop Grandpaw Grandpap Grandpa	Grandpappy	grandmother Grandmother	Gram Grandma	Grammy Granny
uncle Uncle	Unk		aunt Aunt		Auntie

Usually boys start calling their father Dad, not Daddy, before they themselves are addressed by their Nn in the home. However, it is not unusual for women to continue using Daddy when they themselves are mothers and to be called by a variety of AfNn's in return.

Some of those AfNn's may be drawn from the following list of general terms of endearment which are used normally only between parents and children or between adult members of the opposite sex (List S).

LIST S

FFN or relationship	Nn	AfNn	FFN or relationship	Nn	AfNn
lover Lover	Love	Lovey	beautiful Beautiful	Beaut	Beauty
sweetheart Sweetheart	Sweet Sweets	Sweetie	rough affect	tionate Snooks Toots	Snookie Tootsie
a dear one Dearneart Dearone Dearest	Dear	Dearte	kitten Kitten	Kit	Kitty
	Babe Hon	Baby Honey	cat Puss-in-bool	ts Puss	Pussy

The way names in List 5 are used is an especially good example of the masculine-feminine split between Nn and AfNn i.e., he will call her Honey and she will call him Hon. The commercial candy bar named after him is still called a Baby Ruth, but no one ever

called the baseball player Babe Ruth, Baby. Deante has been a euphemistic name for male homosexuals long enough now that, even when used otherwise, the connotation of sarcasm may override all other considerations.

Reminding the reader that I have already pointed out that nothing forces people to use names the way I am claiming they are used (e.g. individual family usage might disagree with List S), I think it is fair to assume that I have established the masculine connotation of Nn's and the fermione connotation of AfNn's via the arguments related to Lists O through R. My assignment and prediction for the names in List G (List O's FFN has a shape that could be either a Nn or an AfNn) then must be as follows: the shape in question must be a Nn and the gap that is to be filled is the AfNn gap and therefore when we find men named Ray, Lee, Leo, Sipetc., we will usually find that if they have an AfNn (remember men don't need it that much) it may be a bit unusual. The reverse will be true for women (List N).

Now I'd like to turn to another aspect of the FFFN, Nn, and AfNn paradigm namely, the order and circumstances in which they are acquired in order to identify another element that influences the uses of Nn and AfNn in particular. ¹⁶ I will try to show that just as the Nn is distinguished by the presence of the element "masculine," so is the AfNn distinguished by the element of "childishness."

Although it is the FFN that goes on the birth certificate, it is some form of AfNn that is used from birth in most cases. A baby is called Baby, Honey, Sweetie, Sonny, Sissy, Dolly, etc. until the more normal AfNn, based on the FFN, comes into general usage and recognition. Starting school is often the time when this occurs because the child is registered by FFN--from which the AfNn is derived.

During the course of the school years, girls may change names from time to time in play or in search of self autonomy but this process for boys is much more varied and serious an event and the dropping of an AfNn for the Nn may often amount to a rite of passage in terms of the ritual and importance attached to it. If In any case, major cultural group or sub-cultural group, the school-year-age process of changing names is more important to the boys than to the girls because girls normally retain the AfNn whereas the boys normally cannot become adults without using the Nn.

When an American boy is in his school years he may take, or be given by his peer group, a variety of Nn's and/or AfNn's (some of which he may even like) that are based on personal or physical characteristics real or imagined. These names are much more difficult to understand because they usually have no FFN.

LISTT

FFN or			FFN or		
characteristic	Nn	AfNn	characteristic	<u>Nn</u>	AfNn
dumb Ø crazy Ø Ø daft crazy Ø bumbler greenhorn horse rider/ athlete	Boob Buck Buys Bunk Butch Buzz Case Daff Dizz Flake Goof Green Jock	Booby Bucky Bugste Bunkte Butchte Buzzy Casey Daffy Dizzy Flakey Goofy Greente Jockey	tough crazy Ø crazy crazy red haired red haired touch smelly/ offensive	Knucks Loon Mack Nuts Nut Red Reds Rock Stinker	Knucksie Loon: y Mackie Nutsie Nutty Reddy Redsie Rocky Stinky



There are many more colorful names of this type but they don't exhibit the same regularity of those listed in T although some are clearly of a Nn-AfNn type relationship e.g., Flash, speedy, Li pitning, Tunton, a horty, streten, Chief, Cannonball, Duke, Peaches, King, Horse, Bumper-Bump, Europ-Bingle, Satch-Satchmo, Carrottop, Whitey, Blacky, Blue, Bird, etc.

Another arregular class of rather colorful names, which I will designate "linked names" as a rather small group that is based on a semantic linking of a Nn with the last name. Examples would include Durty Rhodes (a pun on dusty roads), Mule Skinner (a mule skinner was a mule driver), Skin f lint (a skin flint is a miser, a person who holds on to his money), etc.

The normal American adult male considers these names, as well as the more pressite, regular Af\n's, to be childish. In his normal progress from boyhood to manneed he sheds theme-usually in his teens and early twenties. It may be concurrent with his departure from home to go to college or to join the military service or upon his accurring a permanent job. In any event the Af\n is gone and the \n replaces it in normal usage by the time of his marriage. If no real physical separation from his home takes plush, it often requires a struggle on his part to get his mother to call him by his \n in front of his friends and he probably can never get his grandmother to do so. His tather and grandfather will be much more helpful in the changing process and may even force the change on the boy before he feels too much peer group pressure to do so. Brothers and disters will continue to use the Af\n but they will do it consciously knowing that it embarrasses "big brother" to call him by his "baby name."

Once the boy considers himself an adult, independent of parents and siblings, he will allow only very close, intimate friends to use his AfNn and even then usually only in taxitions where friendly joking and teasing is appropriate. In most relationships he will not reveal what his AfNn is. If his FFN is of a type from which the AfNn can be predicted and others try to use it, he will gently but firmly correct the usage to what he considers the proper choice relative to the social distance involved. Continued use of the AfNn by another after such correction is considered insulting behavior. The degree of the insult and therefore the severity of his response will depend on his temper, his mood of the moment, his judgment of the relative social position and intent of the insulter, and a host of other considerations including any paralanguage factor the insulter might use such as a lisp. The insult is a powerful one if used deliberately be satisfied one has simultaneously questioned his masculinity and maturity. I would strongly recommend that foreign speakers of English avoid addressing adult males by the Affin unless specifically requested to do so by the person himself—and try to find out which linguistic mechanism or cultural value is being expressed by such a request.

There are deritain areas of American cultural life where this change does not take place. For example, athletes may delay the change or, especially if they become protessionals, not make the change at all. Some examples from baseball would be Nelly Fox, Willie Mays, and Tommy Davis. In football Jimmy Brown, Rosey Grier, and Woody Hayes. In balkettail clasey Jones, Richie Guerin, and Johnny Wooden. In fact Hays and Wooden are well-known coaches and so will probably never stop using the AfNn publicly. Even the legendary, rock-hard taskmaster Vince Lombardi was called Vinnie more often than the normal solid male will accept.

Another example of an occupational group which deviates from the normal usage would be those who are in snow business of one kind or another. Frankle Sinatra, "I note Milty" Berle, Sammy Davis, Shelley Berman, Shecky Green, Charley (Bird) Parker, Intray sillespie, etc., kept on using AfNn's they acquired in their teens partly because we nature of their adult occupation allows or demands it and partly because the Jensin's top dation does not consider the occupation to be a normal adult one.

I the distample would be the name that gangaters, gamblers, and other notorious types are on, more likely, are called, especially in newspaper stories, movies, and superiorally to be one. Suggest Sergel, Armie Rothstein, Machine Gun kelly, and Moe the Gimp



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(he walked with a limp) are some examples from the national scene. In Hawaii at this time, recent criminal trials have been filled with men named Nappy, Biggie, etc., usually reported in single quotes along with their full names in newspaper accounts of the proceedings.

That these occupations are exceptional in relation to name usage is revealed when an individual moves out of them into a more conventional career. Football star, J:mmy Brown, becomes movie actor and businessman Jim Brown. Ronnie Reagan, movie idol for millions of American women, becomes Ronald Reagan governor of California. Frankie Sinatra, singing sensation who makes all the girls swoon, becomes Frank when he associates with (now ex) Vice-President Agnew. In all these cases, and in many more not cited here, the AfNn, which had served as a badge proclaiming their unusual status, is dropped and the Nn, or even the FFN, is adopted for general usage.

Male political figures are especially careful to avoid being pinned publicly with AfNn's. They feel the connotation of childishness will hurt them with the voters. Women are faced with a dilemma in politics. If they use the normal AfNn will the voters react unfavorably to the childish connotation? On the other hand, if they use a Nn they are apt to be accused of acting too mannish. The following list (List U) should illustrate my point about male politicians; notice that in some cases initials are thought to be a good compromise between high status (formality) and the need to be well known (informality).

	LIST U	
FFN	Nn	AfNn
Franklin	FDR	*Frankie
Dwight	lke	*Ikey/Dwighty
John	Jack/JFK	*Jackie/Johnny
Lyndon	LBJ	*Lynnie
Richard	Dick	*Dicky
Spiro	Ted	*Teddy

I left out President Truman's name because it is an interesting case. Normally, Harry would be an AfNn but it was, in fact, his FFN and therefore most Americans accepted it as a proper Nn i.e. as being neither too formal nor too childish. Nevertheless he was often pictured as the "underdog" and not taken too seriously by his opponents prior to his famous upset victory.

President Nixon's enemies have tried to tag him with the name "Tricky Dicky" from time to time but it has rever become popular. Ex-vice-president Agnew's friends called him Ted (his middle name is Theodore) but not the general public. His first name was the source of many jokes, most of them unkind.

This brings to a close that portion of the paper where I try to set out the inventory of names used in American English and the way they are used. This last section has tried to examine some of the elements that are relevant to those uses, particularly how masculinity and maturity mark the Nn as separate from the AfNn.

In those cases where a FFN does not provide a Nn for a man or an AfNn for a woman, what devices or sources are available to fill the gaps?

One of the easiest stratagems is to use the middle name. A young man named Wade Bruce Lindsey has the FFN Wade from which neither Nn nor AfNn can be derived. His friends use either Wade or Bruce as his Nn but his mother uses Brucie for the AfNn. Spiro Theodore Agnew uses Ted as his Nn, but not publicly—why?

Initials may be used as a Nn in at least three possible combinations. LBJ, for Lyndon Baines Johnson, used the initials of all of his names. Others use initials of



tirst and middle names as to TJ Smith or BJ Sams. The third possibility is most often found to temale names where the FFN can consist of two names as in MaryJane Dawn Williams—MJ would be used for the Nn or the AfNn (remembering the discussion relating to List O), most likely the latter for females.

The last name, in whole or in part, may also be used as a source for a needed Nn or AfNn.

<u>LIST V</u>								
Last Name	Nn	AfNn	<u>Last Name</u>	Nn	AfNn			
Brislin	Briz	*Brizzie	MacDougal McGinnis	Mac	Mackie			
Kowaliki Sikonski	Ski (if male)	Ski (if female)	Van Dusen Van Hooten	Van	*Vannie			

The last name may also furnish a Nn or AfNn if it is common enough (Smitty, Jonesy) or if it happens to be the same as a FFN (Keith James--Jim, Ichabod Thomas--Tom, Warren Spahn--Spahnnie (a professional baseball pitcher)).

Changing attitules have lessened the likelihood of using the following method but it is still used. One's family nationality, either personally known or revealed by the family name can serve as the base for the Nn and/or the AfNn.

		LIST W	
, -	Nationality	Nn	AfNn
	Chinesen ,	Chink	Chinee
	Liutch '	Dutch	Dutchie
	French	French	Frenchie
-	Intah	Irish	' 'Tirishie
	Japan/Nippon	Jap/Nip	*Jappie/Nipoie
	Polish	Polack	*Polackie
	Portuguese	Portuguese	Portagee
	Russian	Russ	Ruskie
	Scottish	Scot	Scottie
	Spanish	Spanish	*Spainie
	Swedish	Swede	*Swedie

It is rather ironic that some of these names, which fit so nicely into the overall system—in fact in the best slot, should have such "bad" meanings today. The different between Swede and Scottie compared to Jap and Chinee is a peculiar glimpse into American history over the last half century.

I have tried to describe and analyze the inventory and usage of American names. Despite the many complexities involved it does seem to be a quite regular, systematic matter. Because this is true, some possible subjects for further study and possible pedagogical use come logically to mind.

First, as it is a system, it can be taught to and learned by non-native speakers of English. It could be a true case of language and culture learning.

Second, it would beem that studies of the use of names in other cultures for comparative purposes might be in order. What little knowledge I possess of Japanese names lead me to believe that many of the same elements described in this paper exist



in Japanese name usage, e.g. there are clear differences between a large number of moth and female names. Some of the differences are phonologically realized and others imitar to those in List Q on page 78. In addition to the similarities it might be esting to see what cultural values might be reflected by the differences in name us. page 1 wonder if the equivalent of Yank-Yankee in Japanese is "bad?"

Students of literature and drama may find some interesting insights into the conscious and unconscious motivations for the names authors give their characters. For example, in Arthur Miller's Death of a Salesman, why is the adult, male, father of two sons, typical American named Willy Lornan?

A study of titles to indicate status and generational location is in order. I suspect that it may be an extension of the present paper. 18

Finally, and in keeping with the non-technical nature of this paper, I'd like to suggest that psychologis. I might find a knowledge of the American way with names helpful in their work.

By the way, don't ask me what the H stands for in my name; just call mc Van.

FOOTNOTES

¹Biological Foundations of Language, E.H. Lenneberg, 1967, John Wiley & Sons (pp 343/4). A very readable book that is as useful for the language teacher as it is for the linguist.

²Considerations of length and focus of the paper apply here. Titles as forms of address are important and they will be referred to occasionally, but are a proper subject of study in themselves, in addition to the usual sections about their use in Business English handbooks written by McCrimmon and others.

3 See Starosta reference in footnote #9.

⁴Middle names are often family names of the mother's father or of the grandfathers from either side.

 5 In relation to this fact it is interesting to note how little is consciously known by native speakers about their widely known (i.e. subconsciously) use of names. See reference in footnote #17, and Heller, L., and Macris, J. A typology of shortening devices. American Speech, 1968, 43, 201-208, for an examination of the various types of shortening devices. There are six types: (1) acronyms, which use the initial letters of a word; (2) mesonyms, which use the medial part (e.g., "Liza" for "Elizabeth"); (3) ouronyms, the tails of the subject words (e.g., "Beth" for "Elizabeth"); (4) acromesonyms, the initial plus the medial letters, such as "T.V."; (5) acrouronyms, which use the initial and tail letters in a blend which may be typified by "brunch"; and (6) mesouronyms, medial plus tail combinations which produce words like "Lizabeth". Two patterns of medium shortened words are also classified: (1) shortening by phonology, such as "ad" "Liza" and "Beth"; and (2) orthographological shortenings. In addition, shortenings are divided into two classifications according to the hierarchy affected: (1) monolectic (one word), such as "ad" and (2) polylectic (more than one word, a phrase), exemplified by "brunch". Finally, three ways of indicating abbreviation are classified: (1) no mark as is the case for "he is" (for /hiz/); (2) abbreviation point (for orthographical shortenings only), as in C.O. D.; and (3) apostrophic (usually the orthographical marks reflecting the earlier phonological shortenings), for example "o'clock".



"Another difficulty is the rection on names in dictionaries to another example of nature dieder 1 inorance, on a conscious level, of name usage. Webster's i malmidged Dictionary (record clittor, 1970; pp 2120 f 160) contains two sections of names and one section on terms of address the notions word on name usage.

I arrend from a productio, and careful not to mislead anyone into thinking that what I will be some will fit the finglesh of Great Britain, Australia, etc.

** moran , a name that demonst exist in the sense I have never heard it used.

I on those who are interested, one can begin with Chomsky, N. Aspects of the Trong of Syntax. Cambridge, Mass.; MIT Press, 1968, pp. 184ff. For fuller and more interesting detail see planosta, S. "Some Lexical Redundancy Rules for English Noon." 180. a, 1971, 2.

10) naza record the back formation *Bobert used jokingly.

III on thicupaten on p. 81 ff.

If furnish who is name, are of the List II type, i.e., with all three forms, and who are presently at the cell by their Athn will often be called by their Nn by intimates—a never at of the normal attention. In a backhard way, this supports my claim that the three has do do in os of social distance do exist and three names are needed to identify/label them. The reversal may also occur with male names, e.g. Ton seem, to me to be a cook intimate name than Tony. Certainly men named Anthony are usually called Tony.

Township we delexplain why we often find patterns like the following: Victor Vic Victoria Vicky

145th by nath each a strong connotation of dry-baby or weak person that it is rare for one to near it can't newarlay a even for girls. If or adult males it is aften used as a exphemism for nome except. If it is used directly, to the male being addressed, it is an insult.

1) This to a Cawanian was je not generally known on the mainland United States.

If arm trying to make a distinction here between element, that are in a class (e.g. there are note mascribe at the minima $i(PN^t)$) and dements that by their presence or absence distinguish the class from another (e.g. Nn i). Its linguished from ZINn by the presence of the element, on extractings in tactor, mascribney.

17 min "Name ., praffitti, and Culture" in the April 1969 in the of <u>Erban Review</u> by tentent rion'.

Id: on example, lately triave noticed a rise in popularity of using, in lead of the first name, middle initial, family/last name, a shift to first initial, full middle name and timily/last name. Some people have always done this, for example E. Power Biggs, W. Nelson Frances, it. Some fitzgeraid and W. Avertil Harniman, but they were and one and ual more if to wonder what their first names are). I'm not talking here about men nying to be all the normal pattern as a way of calling attention to here access, but a name in orderary diage.



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